

# Simulations of Sequence Evolution

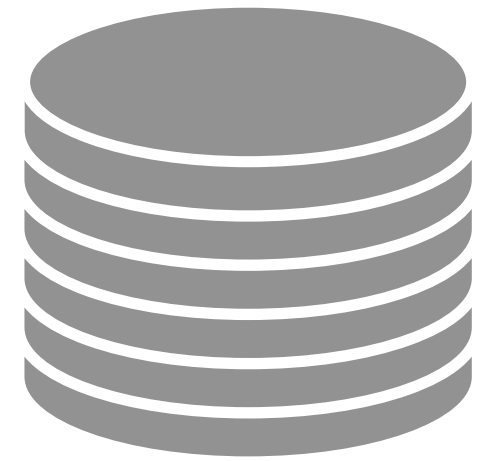
## How (Un)realistic They Are and Why

J. Trost, **J. Haag**, D. Höhler, L. Jacob, A. Stamatakis & B. Boussau



# Motivation

Data



AI

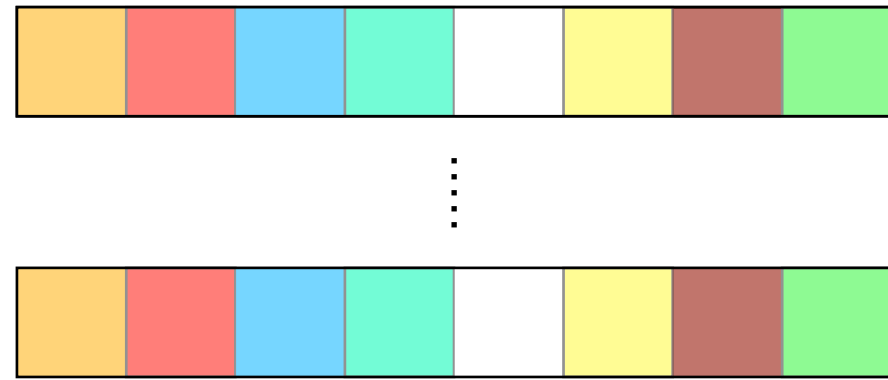


Magic



# Motivation

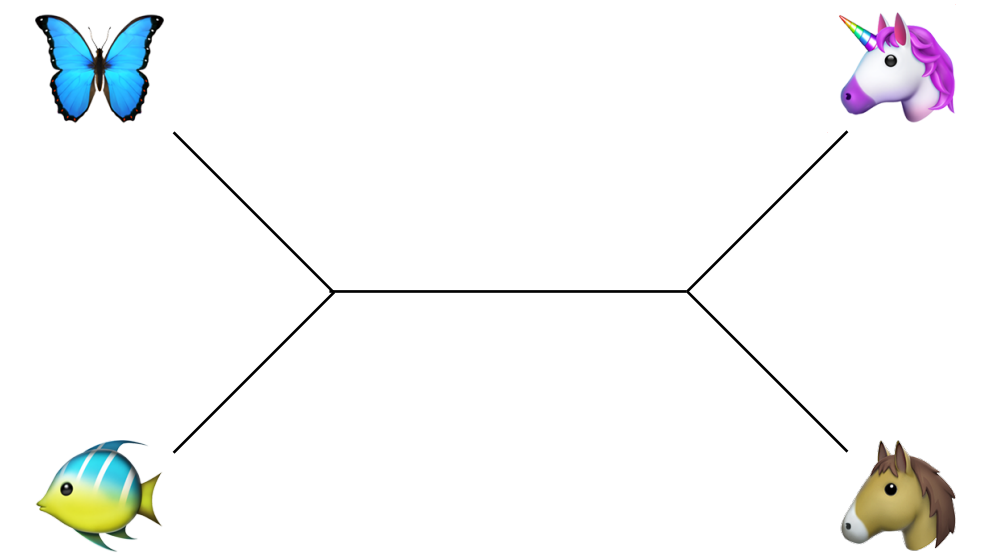
MSA



AI

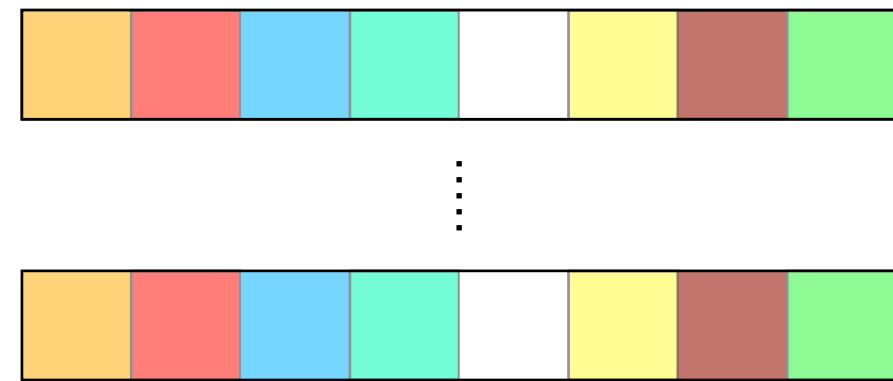


Phylogeny



# Motivation

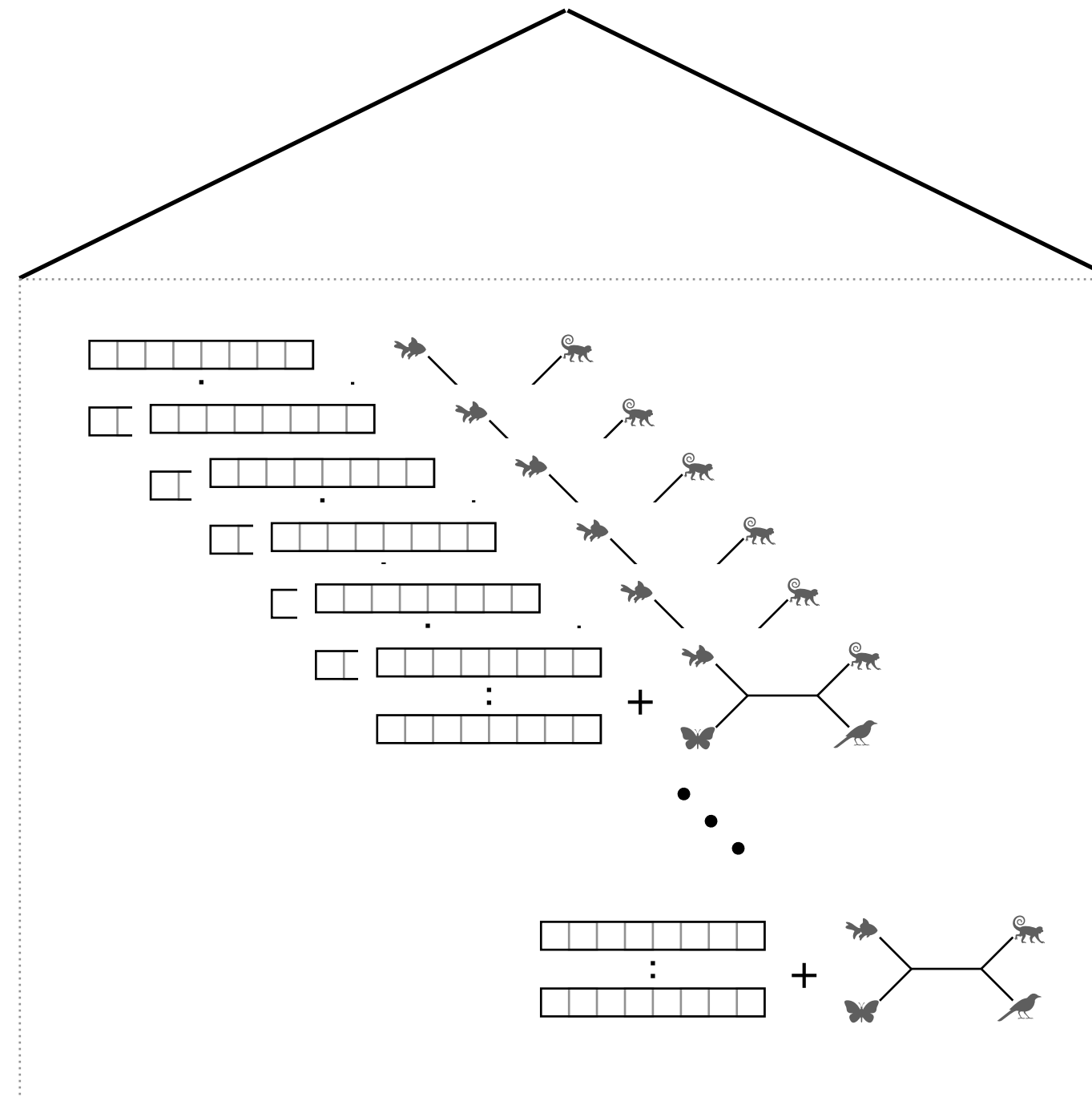
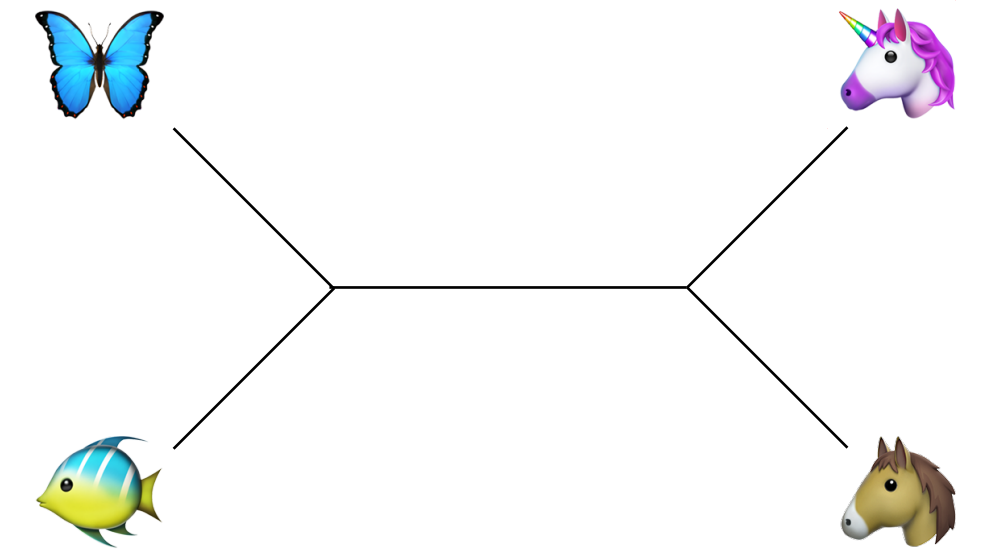
MSA



AI



Phylogeny



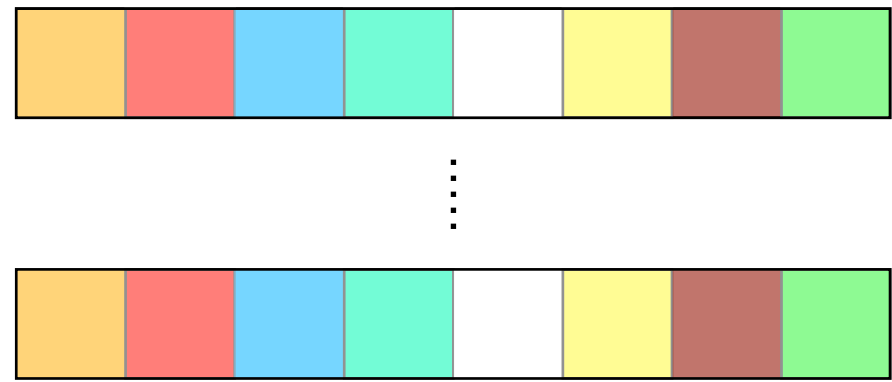
Simulations?

Simulated data == empirical data?

# How (un)realistic are simulations?

# Overview

MSA



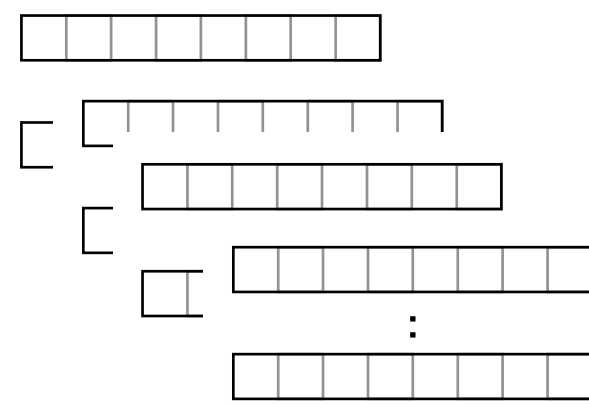
Classifier



Empirical  
or  
simulated

# Overview

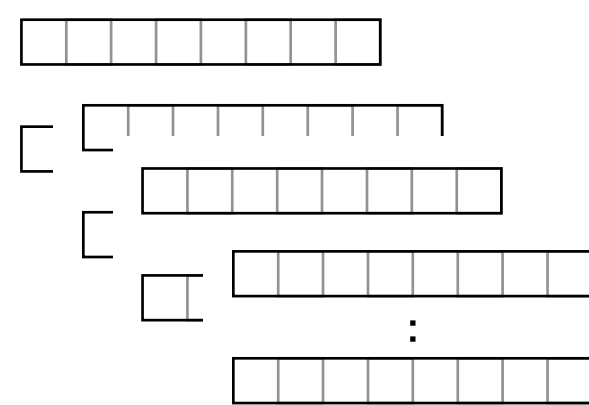
Empirical Data Collection



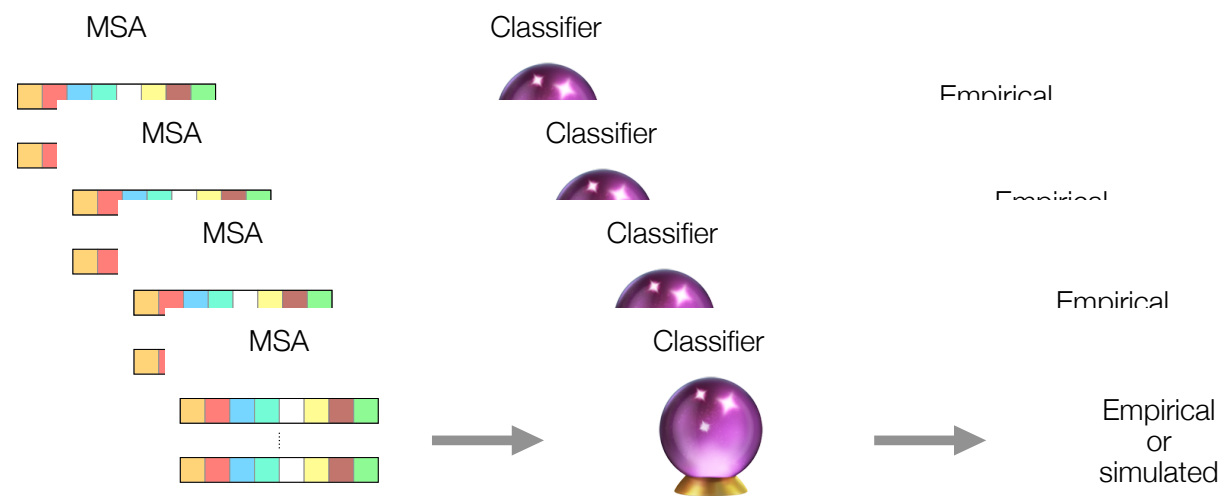
Model of Evolution



Simulated Data Collection



Classification

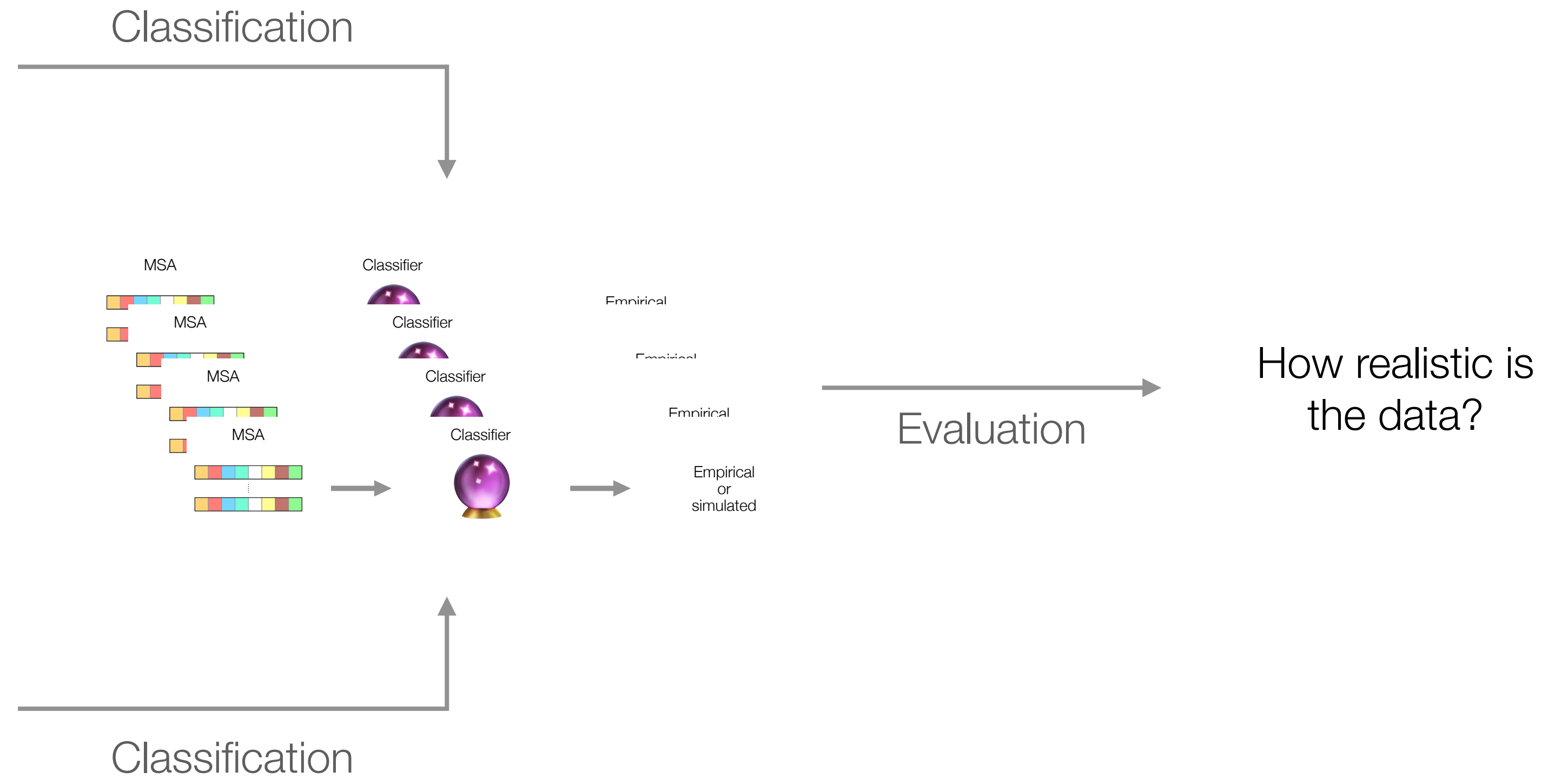
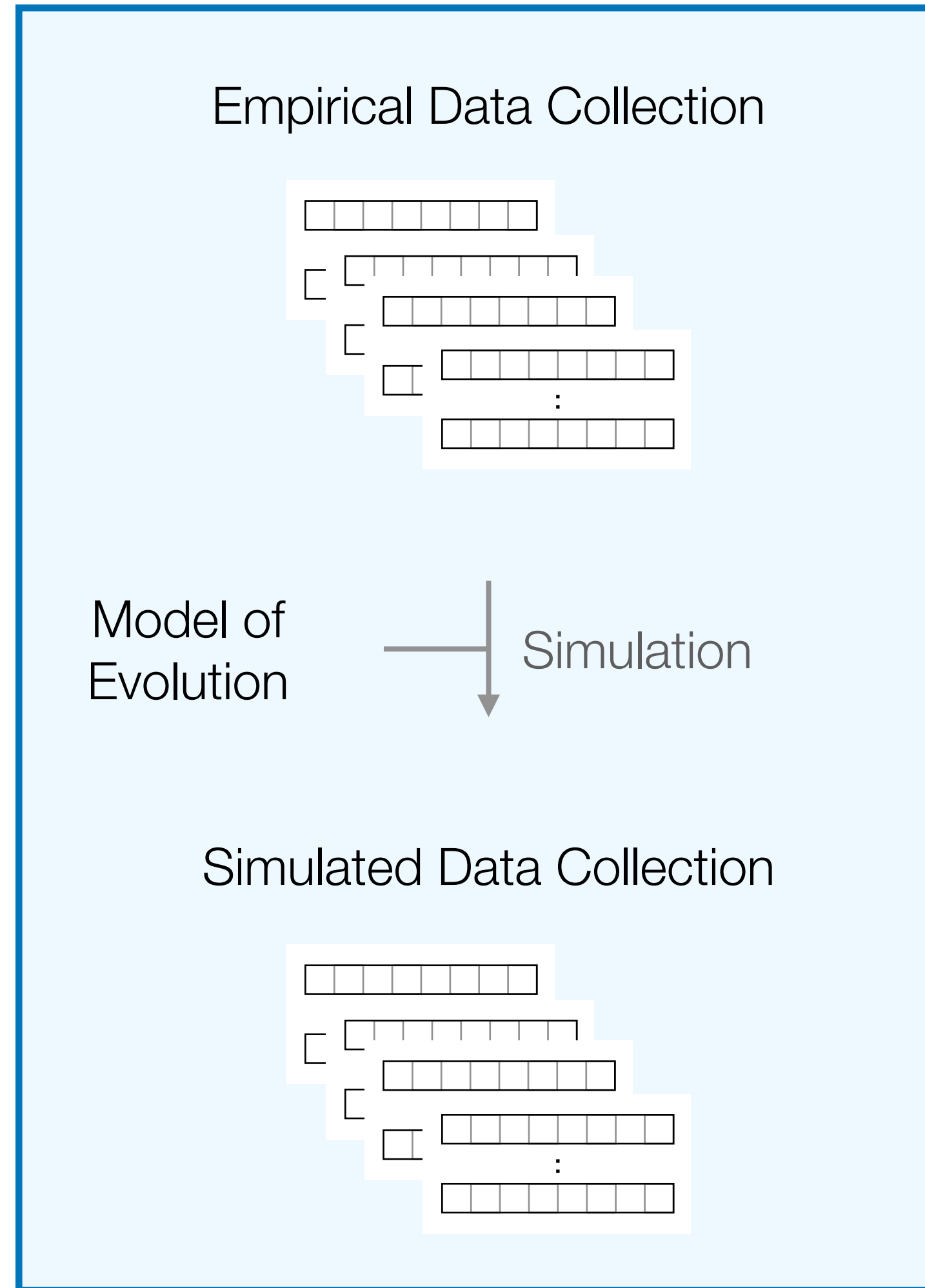


Evaluation



How realistic is the data?

# Overview





# Alignment Simulation

- 15 simulated data collections
  - DNA: 5 + 2
  - Protein: 7 + 1
- Models of Evolution:
  - DNA: JC, HKY, GTR, GTR+G, GTR+G+I
  - Protein: Poisson, WAG, LG, LG+C60, LG+S256, LG+S256+G4, LG+S256+GC
- Empirical data collections
  - DNA: TreeBASE (9460 MSAs)
  - Protein: HOGENOM (6971 MSAs)

# Alignment Simulation

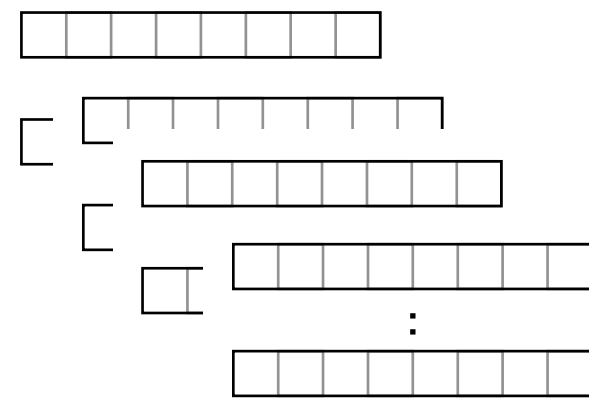
- Phylogeny + simulation parameters based on empirical data collections
- Simulation Tool: AliSim
- Simulations without indels
- Indel Simulation:
  - Mimick approach: superimpose gap patterns
  - SPARTA approach: empirical indel parameters (SpartaABC)

# Simulated Data Collections

- DNA (5 + 2):
  - Gapless: JC, HKY, GTR, GTR+G, GTR+G+I
  - With Indels: GTR+G+I+mimick, GTR+G+I+sparta
  
- Protein (7 + 1):
  - Gapless: Poisson, WAG, LG, LG+C60, LG+S256, LG+S256+G4, LG+S256+GC
  - With Indels: LG+S256+GC+sparta

# Overview

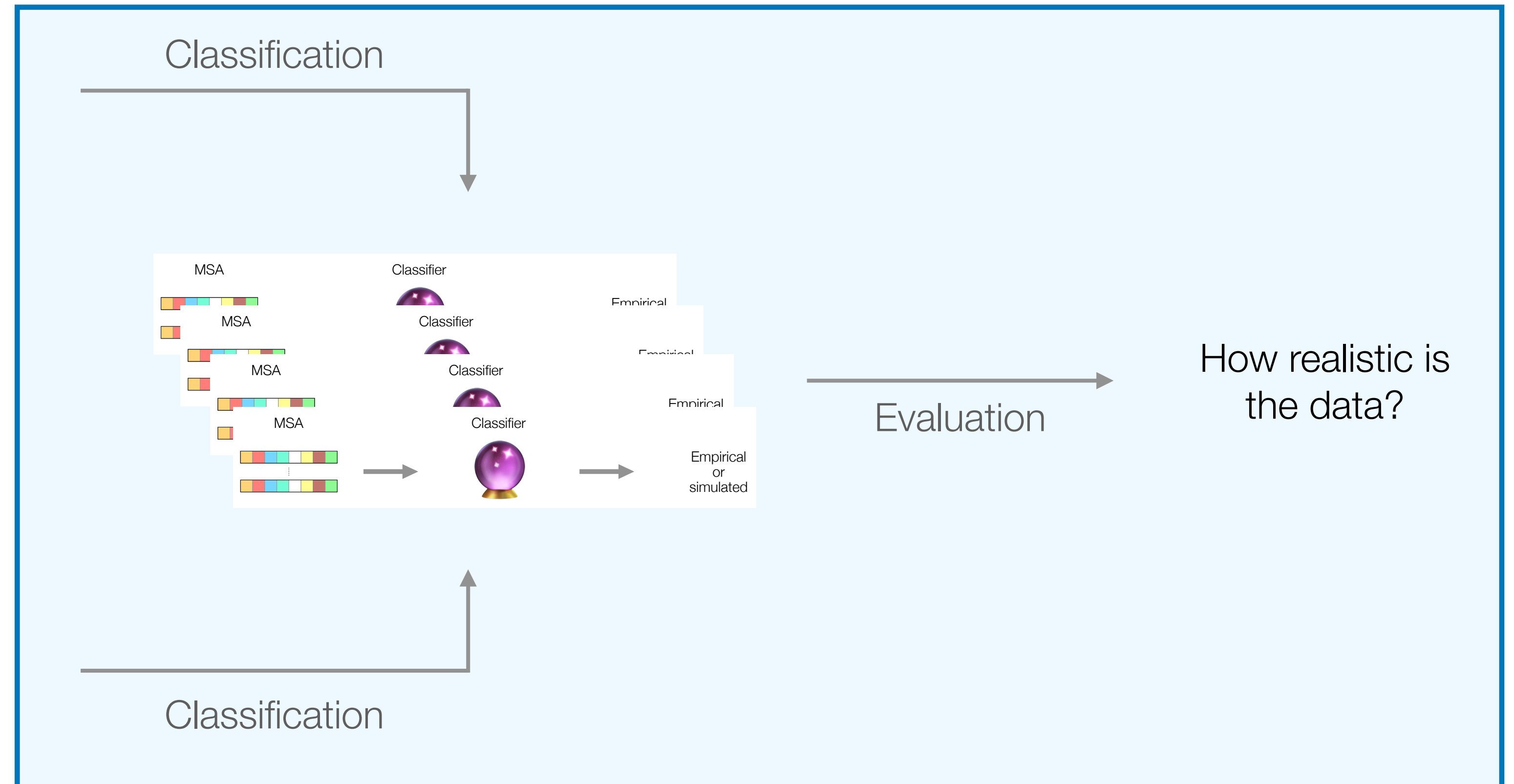
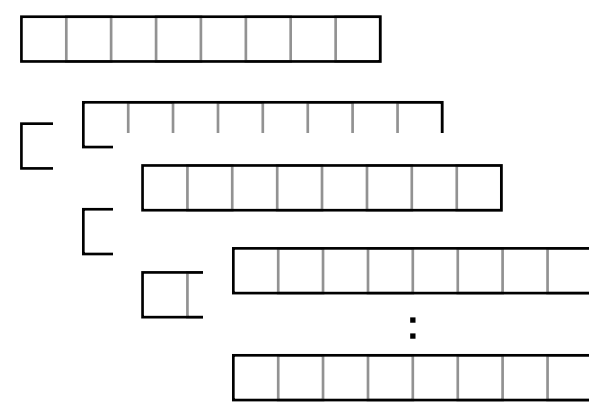
Empirical Data Collection



Model of Evolution



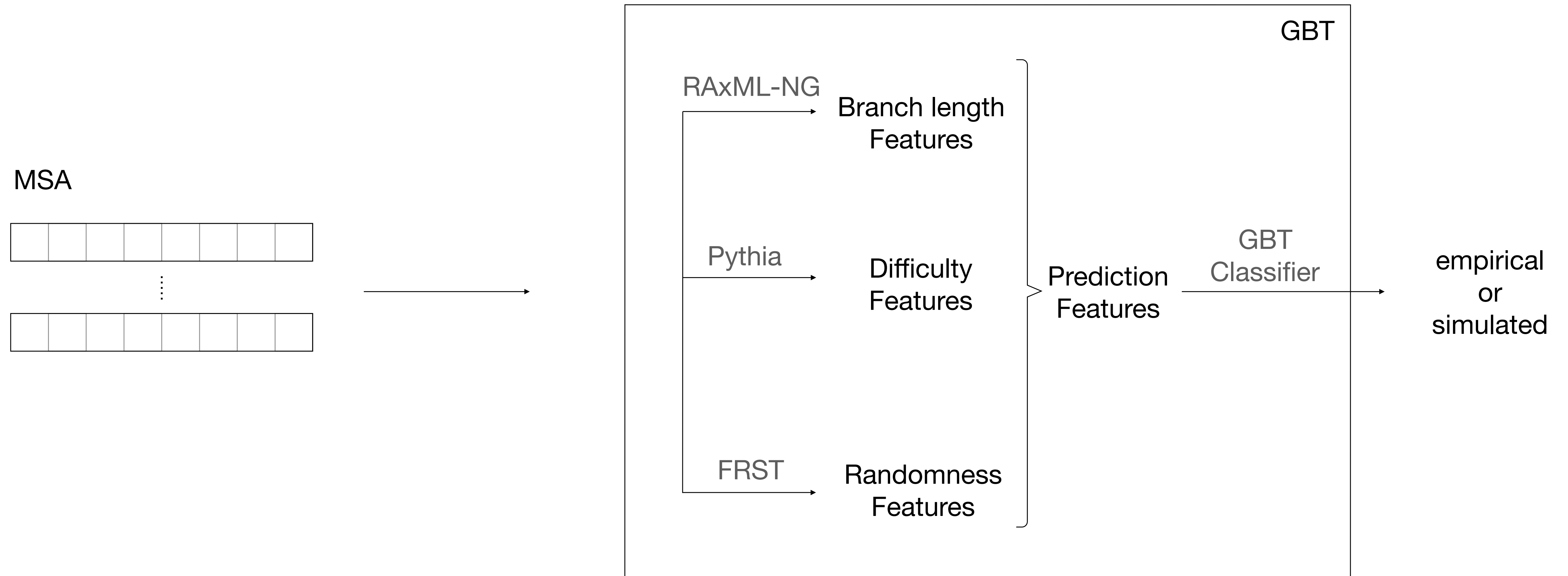
Simulated Data Collection



# Training and Evaluation

- Two distinct classifiers
  - Gradient Boosted Trees (GBT)
  - Convolutional Neural Network (CNN)
- 1 classifier each for each simulated data collection
  - ⇒ 15 GBTs + 15 CNNs
- Training data: simulated + empirical data collection
- 10-fold CV + Balanced Accuracy (BACC)
- Final accuracy: average BACC over all 10 folds

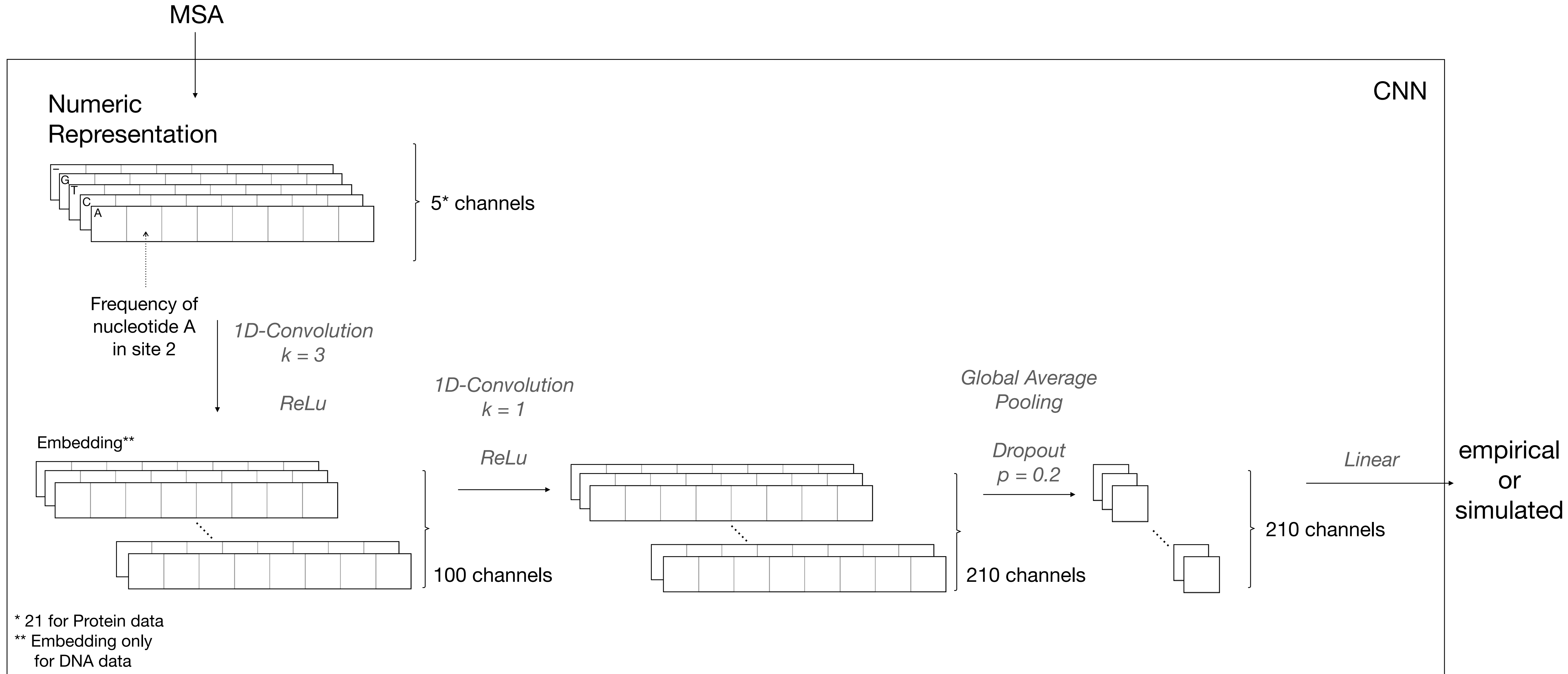
# Gradient Boosted Trees



# GBT: Features

- Branch length features
  - Based on RAxML-NG tree inference
  - Average branch length, maximum branch length, ...
- Difficulty features (Pythia)
  - Based on Pythia difficulty prediction
  - Predicted difficulty, sites-per-taxa ratio, proportion of invariant sites, ...
- Randomness features (FRST)
  - Based on parsimony substitution counts and FRST
  - Entropy, Serial Correlation Coefficient, ...

# Convolutional Neural Network





# Classification Performance

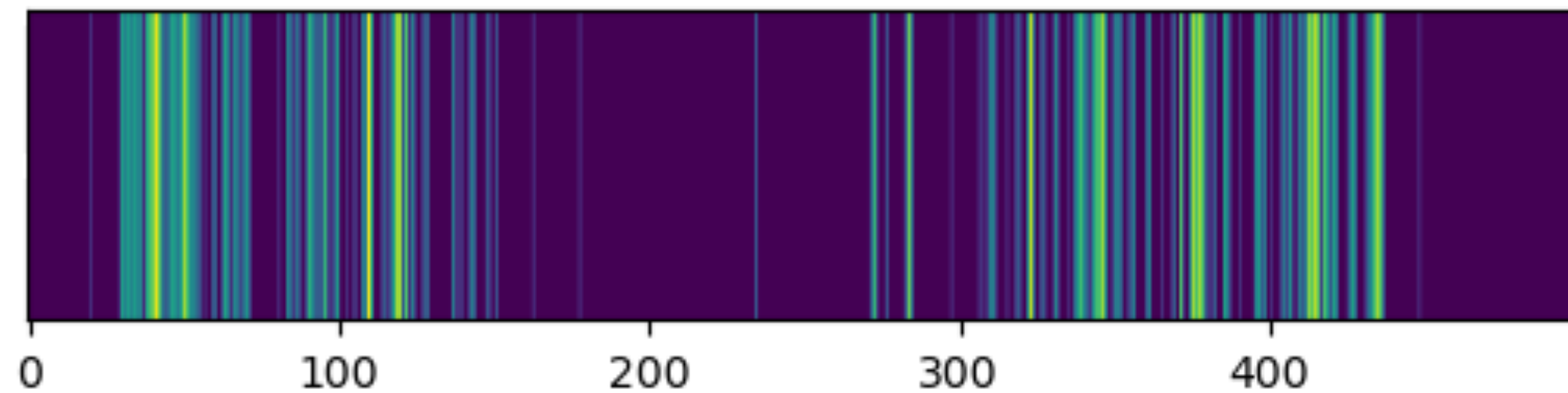
	<b>BACC</b>	
	<b>GBT</b>	<b>CNN</b>
DNA data collections		
JC	0.96	0.99
HKY	0.96	0.99
GTR	0.94	0.93
GTR+G	0.89	0.94
GTR+G+I	0.89	0.94
GTR+G+I+mimick	0.77	0.97
GTR+G+I+sparta	0.94	0.97

	<b>BACC</b>	
	<b>GBT</b>	<b>CNN</b>
Protein data collections		
Poisson	0.99	0.9996
WAG	0.99	0.97
LG	0.99	0.95
LG+C60	0.98	0.99
LG+S256	0.99	0.995
LG+S256+G4	0.99	0.99
LG+S256+GC	0.98	0.99
LG+S256+GC+sparta	0.99	0.996

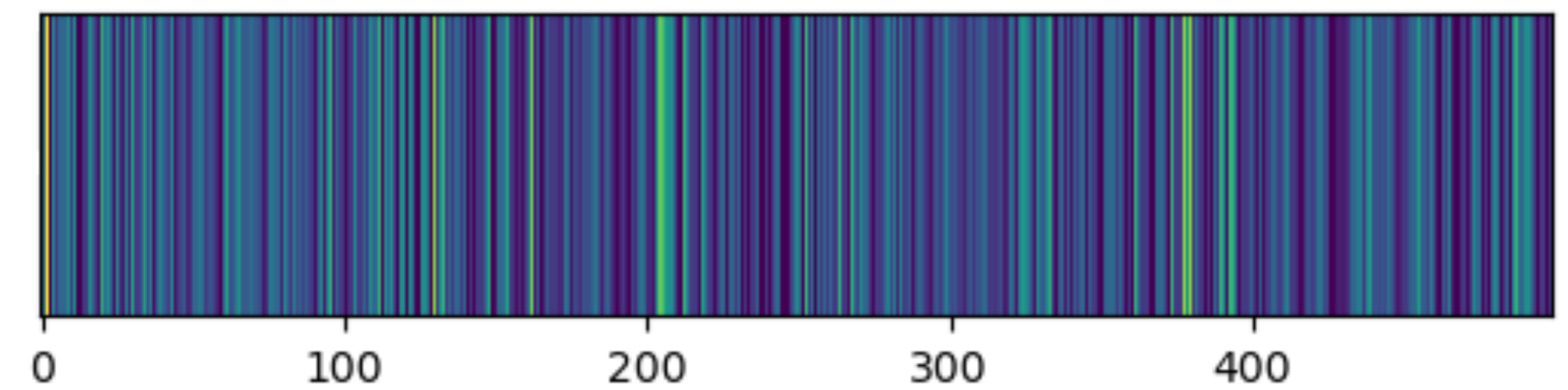
# GBT Feature Importance

- Most important features: randomness metrics

**Empirical DNA MSA**



**Simulated DNA MSA**



# GBT Feature Importance

- Most important features: randomness metrics
  - Randomness across sites
  - Randomness within sites
- Empirical data:
  - Higher proportion of invariant sites
  - Longer branches

# CNN: Feature Importance

- Logistic Regression
  - Feature: Alignment-wise AA/Nucleotide frequency
- DNA data:
  - BACC  $\sim$  0.5
- Protein data:
  - BACC  $>$  0.94 (mixture models)
  - MSA composition highly informative

# Discussion

- Remarkable Classification Accuracy  $\Rightarrow$  low simulation realism
- Representative empirical data + as-good-as-possible simulations
- Two distinct approaches = two distinct sets of characteristics
  - GBTs: hand-crafted, MSA global features
  - CNNs: site-compositions
- Important features:
  - Site-composition
  - Uniformity of evolution across sites

# Conclusion

- What now?
  - Better models
  - New (model-free?) simulators
- Classification approach as testing framework for simulation realism
  - High accuracy  $\Rightarrow$  low realism
  - Low accuracy  $\Rightarrow$  high realism
- Models  $\neq$  real-world, is that surprising?
  - No, but the degree of unrealism is!